



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: JANNUSCH ET AL. Examiner: UNKNOWN  
Serial No.: 10/805,172 Group Art Unit: 1761  
Filed: MARCH 19, 2004 Docket: 8195.35US01  
Confirmation No.: 7390  
Title: THERMOPLASTIC FILMS AND METHODS FOR MAKING

**CERTIFICATE UNDER 37 CFR 1.8:**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on September 21, 2005.

By: *Kristine A. Wacek*  
Name: Kristine A. Wacek

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450



Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Supplemental Information Disclosure Statement, Form 1449, 6 Reference(s)
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

Merchant & Gould P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
612.332.5300

By: *Kate DeVries Smith*  
Name: Katherine M. DeVries Smith  
Reg. No.: 42,157  
KDeVriesSmith:PLSkaw

S/N 10/805,172

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	JANNUSCH ET AL.	Examiner:	UNKNOWN
Serial No.:	10/805,172	Group Art Unit:	1761
Filed:	MARCH 19, 2004	Docket No.:	8195.35US01
Title:	THERMOPLASTIC FILMS AND METHODS FOR MAKING		

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on September 21, 2005.

By:

Name: Kristine A. Wacek

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**23552**

PATENT TRADEMARK OFFICE

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. § 1.98(a)(2). Because this application was filed after June 30, 2003, copies of the U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Date: Sept. 21, 2005

Katherine M. DeVries Smith  
Katherine M. DeVries Smith  
Reg. No. 42,157  
KDS:PLSkaw

FORM 1449\*

## INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

8195.35US01

Application Number:

10/805,172

Applicant: JANNUSCH ET AL.

Filing Date: 03/19/2004

Group Art Unit: 1761

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,112,620	05/12/1992	Repka et al.			
	5,714,165	02/03/1998	Repka et al.			
	6,375,963 B1	04/23/2002	Repka et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 02/03968 A1	01/17/2002	PCT				
	WO 03/047503 A2	06/12/2003	PCT				
	WO 03/101357 A1	12/11/2003	PCT				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Advertisement, "A. Schulman Develops Water-Soluble Polymer for Film Applications," 1 page (Date Unknown)
	McGinity, J. et al., "Hot-Melt Extruded Films for Transmucosal & Transdermal Drug Delivery Applications," <i>Drug Delivery Technology</i> , Vol. 4, No. 7, pp. 41-47 (September 2004)
	McGinity, J. et al., "Hot-Melt Extrusion as a Pharmaceutical Process," <i>American Pharmaceutical Review</i> , pp. 1-8 (Date Unknown)

23552

PATENT TRADEMARK OFFICE

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.